

<b>SUBJECT</b>	<b>PROPOSED POLICY GA2 (REGULATORY FRAMEWORK FOR THE ADMINISTRATION OF BOARD POLICIES AND PROCEDURES)</b>
<b>MEETING DATE</b>	<b>JUNE 3, 2019</b>

Forwarded on the Recommendation of the President

**APPROVED FOR SUBMISSION**

  
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 Santa J. Ono, President and Vice-Chancellor

**FOR INFORMATION**

<b>Report Date</b>	May 14, 2019
<b>Presented By</b>	Hubert Lai, Q.C., University Counsel

**EXECUTIVE SUMMARY**

Policy 1 (Administration of Policies) and its associated Procedures (together, the “Existing Policy”) provides information regarding the applicability and enforcement of policies contained in the UBC Policy and Procedure Handbook, and confirms authority to grant exceptions from the applicability of policies.

A Policy Review Committee has developed a proposal (the “Policy Proposal”) to amend the Existing Policy and to rename it as Policy GA2 (Regulatory Framework for the Administration of Board Policies and Procedures).

**Attachments**

1. The Existing Policy is available at: <https://universitycounsel.ubc.ca/files/2018/09/policy1.pdf>.
2. The Policy Proposal is attached as Attachment A.
3. Given the brevity of the Existing Policy, the Policy Proposal constitutes almost all new text, so a black-line is not attached.

**STRATEGIC CORE AREAS SUPPORTED**

- People and Places**     
  **Research Excellence**     
  **Transformative Learning**     
  **Local / Global Engagement**

**DESCRIPTION & RATIONALE**

The Board approved the Existing Policy in May 1993 and last revised it in March 1995. The Existing Policy is very brief, has become out of date, has some structural problems, and does not provide the UBC community with sufficient information about a variety of important matters. In particular, the Existing Policy fails to adequately:

- (1) articulate a comprehensive regulatory framework for the establishment and review of Board of Governors (the “**Board**”) policies and procedures (collectively, “**Board Policies and Procedures**”) and rules and guidelines related to Board Policies and Procedures (“**Rules and Guidelines**” and together with Board Policies and Procedures, “**Board Policy Documents**”);
- (2) confirm the purpose and nature of Board Policy Documents;
- (3) set out standards for the establishment, review, amendment, or repeal of Board Policy Documents;
- (4) confirm the development process for Board Policy Documents;

- (5) confirm authority for approval of Board Policy Documents, and for granting of exceptions to Board Policy Documents;
- (6) set out the process for communication of updates to Board Policy Documents; and
- (7) confirm the location of the repository of Board Policy Documents.

The Policy Proposal provides a detailed overview of the regulatory framework within which Board Policy Documents are established, reviewed, amended, or repealed. The policy portion of the Policy Proposal addresses the overall regulatory framework of Board Policy Documents, the scope of application of Board Policy Documents, the bodies or individuals responsible for the coordination of development of Board Policy Documents and for approval of Board Policy Documents, the effective dates of Board Policy Documents, and the location of the repository of Board Policy Documents (the “**Official Repository**”). The procedures portion of the Policy Proposal provides details regarding the process for development of Board Policies and Procedures, including information regarding the composition of policy review committees (“**Policy Development Committees**”), and includes a link to the Official Repository. Below are further details regarding the items addressed by the Policy Proposal.

*Policy portion of Policy Proposal:*

1. **Regulatory Framework** – Part 1 sets out the purpose of Board Policies and the purpose of Procedures, Rules, and Guidelines associated with Board Policies, and confirms that in the case of any inconsistency between a Board Policy and any associated Procedures or Rules, the Board Policy takes precedence followed by the Procedures, followed by the Rules. This part also sets out definitions for Board Policies, Procedures, Rules, and Guidelines, as follows:
  - **Board Policies** are principle-based documents developed to regulate matters that are within the exclusive jurisdiction of the Board; Board Policies are mandatory in nature;
  - **Procedures** provide direction regarding the operational application of the Board Policy with which the Procedures are associated; Procedures are mandatory in nature;
  - **Rules** are intended to set out detailed processes and requirements that are not appropriate for inclusion in the associated Board Policy or Procedures; Rules are mandatory in nature; and
  - **Guidelines** are advisory publications that are intended to provide guidance as to how the associated Board Policy, Procedures, and Rules are implemented and may include illustrative examples, best practices, or recommendations; Guidelines are non-binding in nature.
2. **Application of Board Policies, Procedures, and Rules** – Part 2 sets out that, unless otherwise provided in a Board Policy, Procedure, or Rule, Board Policies, Procedures, and Rules have broad application to all UBC Members.
3. “UBC Members” means: UBC students (as defined in the *University Act*); any other UBC learners to the extent that they are specifically provided for in a Board Policy; all UBC employees and appointees, including, without limitation, staff members, faculty

members, temporary or sessional instructors, clinical or honorary professors, and adjunct professors; all volunteers engaged in a UBC activity; all other persons acting on behalf of UBC; and any other persons as may be specifically provided for in a Board Policy.

This part retains similar language to the language found in Policy #1 regarding the responsibilities of UBC Members who have supervisory roles at UBC (each a, “**Supervisor**”) to:

- (i) communicate with those under the Supervisor’s direction about the application of Board Policies, Procedures, and Rules;
- (ii) ensure compliance with Board Policies, Procedures, and Rules by those under the Supervisor’s direction; and
- (iii) take appropriate action in the event that any concern related to Board Policies, Procedures, or Rules arises, or in the event that any breach of a Board Policy, Procedure, or Rule occurs.

The Policy Proposal confirms that notwithstanding the responsibilities of Supervisors, all UBC Members have an independent responsibility to familiarize themselves with the content of Board Policies, Procedures, and Rules and to conduct themselves in accordance with their requirements.

Lastly, this part of the Policy Proposal provides that the President will designate one or more members of the UBC Executive (being the group of senior administrators selected by the President for the purposes of providing advice regarding UBC operations and implementing UBC’s strategic plan) as having primary responsibility for the implementation and administration of each Board Policy and any associated Procedures and Rules (the “**Responsible Executive**”). The Policy Proposal is consistent with the Existing Policy by providing for authority of Responsible Executives to approve individual requests for exceptions in connection with the application of Board Policies or any associated Procedures or Rules, but this authority is set out in the body of the Policy as opposed to the Procedures. The Policy Proposal further confirms that the President may change the designation of Responsible Executive(s) at any time to ensure that the most appropriate persons are responsible for the administration of Board Policies and Procedures and the issuance of Rules and Guidelines.

4. **Development of Proposals for Board Policies, Procedures, and Rules** – Part 3 articulates the duties of the Office of the University Counsel (“**OUC**”) in coordinating and supporting the process of developing proposals for the consideration of the Board regarding the establishment, amendment, or repeal of Board Policies. Where such Board Policies have associated Procedures, the proposals will normally address both the Board Policy and the Procedures. If Procedures are to be established, amended, or repealed separately, the OUC coordinates the development of proposals for the consideration of the President.

If the establishment of associated Rules is contemplated by the Board Policy or Procedures, the staff of the Responsible Executive normally coordinates the development of proposals for the consideration of the Responsible Executive in connection with such Rules.

5. **Approval of Board Policies, Procedures, and Rules** – Part 4 sets out the bodies or individuals who have authority to approve Board Policy Documents, as set out below.
  - **Board Policies and Procedures:** The Policy Proposal confirms that the Board has overall responsibility for Board Policies and has exclusive authority to establish, amend, and repeal Board Policies. Further, the Board has authority to establish, amend, and repeal Procedures but also delegates such authority to the President. The Policy Proposal requires that the President or the President’s delegate report the exercise of authority to establish, amend, or repeal Procedures to the responsible Board committee at its next regularly scheduled meeting.
  - **Rules:** Where the establishment of associated Rules is contemplated by a Board Policy or Procedures, the Responsible Executive for the Board Policy has the authority to establish, amend, or repeal those Rules provided that the Responsible Executive follows any requirements that are set out in the Board Policy or Procedures for doing so.
  - **Guidelines:** Where a Responsible Executive for a Board Policy considers that associated Guidelines would be beneficial, the Responsible Executive has the authority to issue such Guidelines.

Part 4 of the Policy Proposal also introduces a specific timeframe for the periodic review of each Board Policy and its Procedures. The OUC, in consultation with the Responsible Executive, will conduct a review of each Board Policy and its Procedures, if any, at least every five years from the later of the (i) effective date of the Board Policy; or (ii) the last date of revision of the Board Policy, if applicable. This review will be undertaken for the purpose of evaluating the currency of the applicable Board Policy and to determine whether amendments are required. The OUC will report the result of the review to the responsible Board committee at its next regularly scheduled meeting or as soon thereafter as practicable.

6. **Effective Dates** – Part 5 sets out the effective dates of new or amended Board Policies, Procedures, and Rules. Board Policies are effective when approved by the Board or on such later date as may be specified by the Board, Procedures are effective when approved by the Board or the President, or on such later date as may be specified by the Board or the President, as applicable, and Rules are effective when approved by the Responsible Executive or on such later date as may be specified by the Responsible Executive.
7. **Supplementary Information** – The Policy Proposal permits the OUC to issue explanatory notes that provide supplementary information that can include history about previous versions of the Board Policy Documents, references to related Board Policies or other documents, or other contextual information regarding Board Policy Documents. Such supplementary information is informational only and does not have regulatory effect.

8. **Official Record** – Part 7 requires the OUC to maintain the Official Repository in the location set out in the Procedures of the Policy Proposal, and authorizes the OUC to correct obvious clerical errors or make other revisions to Board Policies and Procedures, provided that such revisions do not have any material effect on the substance and content of the Board Policies and Procedures. The Policy Proposal includes a requirement that the OUC report any such revisions to the Board at its next regularly scheduled meeting or as soon thereafter as practicable and a requirement that the OUC notify the Board Secretary of any modifications of the Official Repository promptly.

*Procedures portion of Policy Proposal:*

1. **Policy Proposal Developments** – Part 1 sets out a detailed process for the development of policy proposals where the development of the policy proposal is expected to result in the establishment of a new Board Policy, the repeal of an existing Board Policy, or significant amendments to an existing Board Policy. The process reflects the current process used to develop policy proposals, which comprises a number of steps. The steps usually include carrying out an environmental survey of policy models at other institutions, striking a committee for policy review, preparing drafts of the policy proposals for consideration by the Policy Development Committees, preparing docket packages regarding the policy proposals for the responsible Board committees or for the Board, publishing the policy proposals for comments from the UBC community, and amending the policy proposals to reflect recommendations of the Policy Development Committees following community consultation.
2. **Composition of Policy Development Committees** – Part 2 sets out the primary considerations in determining the composition of Policy Development Committees. Members must have the subject matter expertise and knowledge of UBC necessary to support the development of an effective policy proposal, and must be representative of units responsible for the subject matter of the policy proposal and the primary UBC constituencies that would be impacted by the policy proposal. The Policy Proposal also recognizes that diversity is a factor to be considered in determining Policy Development Committee membership and confirms that, to the extent feasible, OUC seeks to form Policy Development Committees that represent the diversity of the UBC community. Part 2 also provides that each Policy Development Committee may include the chair of the Board committee that is responsible for the particular subject matter of the policy proposal or such chair's designate, and requires the OUC to consult with the applicable Responsible Executive and the chair of the applicable Board Committee in determining the composition of Policy Development Committees.
3. **Streamlining the Policy Development Process** – Part 3 of the Procedures of the Policy Proposal addresses circumstances where amendments of an existing Board Policy are not expected to be significant, in which circumstances the OUC will streamline the process set out in section 1.1 of the Procedures as appropriate. In determining the streamlined process, the OUC will balance the timeliness, efficient utilization of UBC resources in the development of the policy proposal, and the ultimate requirements that the final policy proposal be of high quality and effective in meeting the specific needs of UBC that are intended to be addressed by the policy proposal.

4. **Official Repository** – The last part of the Procedures of the Policy Proposal provides a link to the Official Repository.

*Explanatory notes:*

There are explanatory notes attached to the Policy Proposal, which include information such as the long and short titles of the Policy Proposal, the identification number of the Policy Proposal, the Responsible Executive for the Policy Proposal, the responsible Board committee for the Policy Proposal, related policies of the Senates and the Council of Senates, a history of the Policy Proposal, and related legislation.

**BENEFITS** The Policy Proposal enhances transparency and understanding of the method by which the Board or its delegates establish documents which provide direction to UBC Members regarding a range of matters that fall within the statutory authority of the Board.

Learning, Research,  
Financial,  
Sustainability &  
Reputational

The descriptions set out in the Policy Proposal for the categories of documents which regulate and govern conduct of UBC Members (being Board Policies, Procedures, Rules, and Guidelines), including the purpose of each category of document and whether it is mandatory or advisory in nature provides clarity and guidance to the UBC community on how these documents apply.

In addition, setting out the process for development of policy proposals provides the UBC community with a clear understanding of how Board Policy Documents are developed, which will also increase transparency regarding the creation of the Board Policies, Procedures, and Rules that govern conduct of UBC Members.

The Policy Proposal also identifies those circumstances in which an action is required to be reported to the Board or the responsible Board committees. These reporting requirements ensure that the Board and responsible Board committees have all necessary information for the proper management and oversight of the Board's regulatory framework.

**RISKS** None.

Financial,  
Operational &  
Reputational

**SCHEDULE** Subject to any feedback from the Governance Committee, the Policy Proposal will be published with a call for comments from June 6, 2019 to September 30, 2019. The Policy Review Committee will review all comments received through this community consultation process in October 2019 and will develop a final proposal that is expected to be recommended to the Board of Governors for approval at its meeting on December 5, 2019.

Implementation  
Timeline

**CONSULTATION** The Office of the University Counsel undertook a review of similar policies at other institutions, including:

Relevant Units,  
Internal & External  
Constituencies

- University of Alberta
- University of Calgary
- University of Saskatchewan
- University of Manitoba

- University of Ottawa
- University of Waterloo
- Western University
- McMaster University
- University of Toronto
- Queen's University
- McGill University
- Dalhousie University
- University of Victoria
- Simon Fraser University
- Harvard

The Office of the University Counsel constituted a Policy Development Committee comprised of the following members, who have relevant knowledge and expertise in the areas of governance and UBC policies and who include members from both the Okanagan and Vancouver campuses:

1. Chris Eaton, Associate Registrar for Academic Governance & Director, Senate & Curriculum (*UBC Vancouver*)
2. Dwayne Tannant, Dean *pro tem*, College of Graduate Studies (*UBC Okanagan*)
3. Hubert Lai, Q.C., University Counsel [Committee Chair] (*UBC Vancouver*)
4. Jesse Lafontaine, Student (*UBC Okanagan*)
5. Lorena Vlad, Legal Counsel [Committee Secretary] (*UBC Vancouver*)
6. Michael Korenberg, Board Chair
7. Michael Treschow, Acting Head, English & Cultural Studies, Faculty of Critical Studies (*UBC Okanagan*)
8. Paul Harrison, Associate Professor, Botany, Faculty of Science (*UBC Vancouver*)
9. Robert Helsley, Dean, Sauder School of Business (*UBC Vancouver*)
10. Sharon Shamuyarira, Student (*UBC Vancouver*)

The Policy Development Committee, as well as the President and Executive, are in support of the Policy Proposal.

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 <p><b>The University of British Columbia Board of Governors</b></p>	<p><b>Policy No.:</b></p> <p>[GA2]</p>
<p><b>Long Title:</b></p> <p>Regulatory Framework for the Administration of Board Policies and Procedures</p>	
<p><b>Short Title:</b></p> <p><b>Regulatory Framework Policy</b></p>	

### Background & Purposes:

The *University Act* establishes a bicameral governance structure that divides responsibility for UBC between (i) the Board of Governors (the “**Board**”); and (ii) the Senates and the Council of Senates. The Board has responsibility for UBC’s property, revenue, and business affairs. The Board establishes Board Policies to provide direction to UBC Members regarding a range of matters that fall within its statutory authority. The purpose of this Policy is to define and set out the framework for the development, review, repeal, approval, and communication of Board Policies. This Policy applies only to Board Policies, and not to policies established by one or more of the Senates and the Council of Senates or to policies that are jointly established by the Board and one or more of the Senates and the Council of Senates.

### 1. Regulatory Framework

- 1.1 Board Policies and Procedures provide a basis for consistent and appropriate decision-making and conduct. The creation, amendment, and repeal of Board Policies and any associated Procedures or Rules are based upon UBC’s institutional requirements.
- 1.2 The general regulatory framework utilized by the Board consists of:
  - 1.2.1 Board Policies;
  - 1.2.2 Procedures associated with Board Policies;
  - 1.2.3 Rules associated with Board Policies; and
  - 1.2.4 Guidelines associated with Board Policies.
- 1.3 “**Board Policies**” are principle-based documents developed to regulate matters that are within the exclusive jurisdiction of the Board. Board Policies are mandatory in nature.
- 1.4 “**Procedures**” provide direction to UBC Members regarding the operational application of the Board Policy with which the Procedures are associated. They are subordinate to the associated Board Policy and must not be inconsistent with the provisions of any Board Policy. Procedures are mandatory in nature.
- 1.5 Board Policies and Procedures may provide for a process for the creation, amendment, or repeal of “**Rules**”. Rules are intended to set out detailed processes and requirements that are not

appropriate for inclusion in the associated Board Policy or Procedures. They are subordinate to the associated Board Policy and Procedures and must not be inconsistent with provisions of any Board Policy or Procedures. Rules are mandatory in nature.

- 1.6 **“Guidelines”** are advisory publications that are intended to provide guidance to UBC Members as to how the associated Board Policy, Procedures, and Rules are implemented and may include illustrative examples, best practices, or recommendations. Guidelines are non-binding in nature.
- 1.7 In the case of any inconsistency between a Board Policy and any associated Procedures or Rules, the Board Policy takes precedence followed by the Procedures, followed by the Rules.

## 2. Application of Board Policies, Procedures, and Rules

- 2.1 Except as otherwise provided in a Board Policy, Procedure, or Rule, Board Policies, Procedures, and Rules apply to all UBC students (as defined in the *University Act*); any other UBC learners as may be specifically provided for in a Board Policy; all UBC employees and appointees, including, without limitation, staff members, faculty members, temporary or sessional instructors, clinical or honorary professors, and adjunct professors; all volunteers engaged in a UBC activity; all other persons acting on behalf of UBC; and any other persons as may be specifically provided for in a Board Policy (**“UBC Members”**).
- 2.2 It is the responsibility of each UBC Member who has a supervisory role at UBC (each, a **“Supervisor”**) to (i) communicate with those under the Supervisor’s direction about the application of Board Policies, Procedures, and Rules; (ii) ensure compliance with Board Policies, Procedures, and Rules by those under the Supervisor’s direction; and (iii) take appropriate action in the event that any concern related to Board Policies, Procedures, or Rules arises, or in the event that any breach of a Board Policy, Procedure, or Rule occurs.
- 2.3 Notwithstanding section 2.2, all UBC Members also have an independent responsibility to familiarize themselves with the content of Board Policies, Procedures, and Rules and to conduct themselves in accordance with their requirements.
- 2.4 The **“UBC Executive”** is the group of senior administrators selected by the President for the purposes of providing advice regarding UBC operations and implementing UBC’s strategic plan.
- 2.5 For each Board Policy, the President designates one or more members of the UBC Executive (which may include the President) as having primary responsibility for the implementation and administration of the Board Policy and any associated Procedures and Rules (the **“Responsible Executive”**). The Responsible Executive for a particular Board Policy has the authority to approve individual requests for exceptions in connection with the application of such Board Policy or any associated Procedures or Rules. Where the Responsible Executive authorizes any exceptions, the Responsible Executive will report the exercise of such authority to the Board Secretary forthwith and to the responsible Board committee at its next regularly scheduled meeting or as soon thereafter as practicable.
- 2.6 The President may change the designation of Responsible Executive(s) at any time to ensure that the designations properly represent the executive structure of UBC and that the most appropriate persons are responsible for the administration of each Board Policy and any associated Procedures and Rules. Where the President changes the designation of Responsible

Executive(s), the President or the President's delegate will report the change to the Board Chair and Board Secretary as soon as practicable.

### **3. Development of Proposals for Board Policies, Procedures, and Rules**

- 3.1 The Office of the University Counsel ("**OUC**") coordinates the development of proposals for the consideration of the Board regarding the establishment, amendment, or repeal of Board Policies ("**Policy Proposals**"). Where such Board Policies have associated Procedures, the Policy Proposal will normally address both the Board Policy and the associated Procedures in a holistic manner. Where an existing Board Policy does not require amendment but the establishment, amendment, or repeal of associated Procedures is contemplated, the OUC coordinates the development of proposals for the consideration of the President regarding the establishment, amendment, or repeal of such Procedures ("**Procedure Proposals**").
- 3.2 Where the establishment of associated Rules is contemplated by a Board Policy or Procedures, the staff of the Responsible Executive normally coordinates the development of proposals for the consideration of the Responsible Executive regarding the establishment, amendment, or repeal of those Rules. The Board Policy or Procedures may establish procedural or other requirements for the development of such proposals.

### **4. Approval of Board Policies, Procedures, and Rules**

- 4.1 The Board has overall responsibility for Board Policies and Procedures. The Board has exclusive authority to establish, amend, and repeal Board Policies. The Board has authority to establish, amend, and repeal Procedures but also delegates such authority to the President.
- 4.2 Where the President authorizes the establishment, amendment, or repeal of Procedures, the President or the President's delegate will report the exercise of such authority to the responsible Board committee at its next regularly scheduled meeting or as soon thereafter as practicable.
- 4.3 Where the establishment of associated Rules is contemplated by a Board Policy or Procedures, the Responsible Executive for the Board Policy has the authority to establish, amend, or repeal those Rules provided that the Responsible Executive follows any requirements that are set out in the Board Policy or Procedures for doing so. The applicable Responsible Executive must provide the OUC with notice of the establishment, amendment, or repeal of any Rules so that the changes can be reflected in the official repository maintained by the OUC pursuant to section 7.1 of this Policy.
- 4.4 Where a Responsible Executive for a Board Policy considers that associated Guidelines would be beneficial, the Responsible Executive has the authority to issue such Guidelines, keeping in mind that such Guidelines are advisory only, and non-binding in nature. The applicable Responsible Executive must provide the OUC with notice of the establishment, amendment, or repeal of any Guidelines so that the changes can be reflected in the official repository maintained by the OUC pursuant to section 7.1 of this Policy.
- 4.5 In consultation with the Responsible Executive, the OUC will conduct a review of each Board Policy and its Procedures, if any, at least every five years from the later of the (i) effective date of the Board Policy; or (ii) the last date of revision of the Board Policy, if applicable. The purpose of such review will be to evaluate the currency of the applicable Board Policy and its Procedures,

if any, and determine whether amendments are required. The OUC will report the result of the review to the responsible Board committee at its next regularly scheduled meeting or as soon thereafter as practicable.

## **5. Effective Dates**

- 5.1 New Board Policies and any changes to, or repeals of, Board Policies take effect when approved by the Board or on such later date as may be specified by the Board.
- 5.2 New Procedures and any changes to, or repeals of, Procedures take effect when approved by the Board or the President, or on such later date as may be specified by the Board or the President, as the case may be.
- 5.3 New Rules and any changes to, or repeals of, Rules take effect when approved by the Responsible Executive, or on such later date as may be specified by the Responsible Executive.

## **6. Supplementary Information**

- 6.1 The OUC may issue explanatory notes to Board Policies and Procedures. Such explanatory notes may provide historical or other additional information regarding Board Policies and Procedures. Any such explanatory notes must be clearly identified as such. For greater clarity, any such explanatory notes are informational only and have no regulatory effect.

## **7. Official Record**

- 7.1 The OUC is responsible for maintaining the official repository of Board Policies, Procedures, Rules, and Guidelines that are in force (the “**Official Repository**”) in the location set out in the Procedures associated with this Policy. UBC Members are entitled to rely upon the versions published in the Official Repository as being accurate.
- 7.2 The OUC will provide notification to the Board Secretary of any modifications of the Official Repository promptly upon such modifications being made, and the notification will include either a copy of the modified document or information regarding the location of the modified document in the Official Repository.
- 7.3 The OUC may implement revisions to Board Policies and Procedures, including correcting obvious clerical errors, provided that such revisions do not have any material effect on the substance and content of such Board Policies and Procedures. The OUC will report any such revisions to the Board at its next regularly scheduled meeting or as soon thereafter as practicable.



## PROCEDURES ASSOCIATED WITH THE REGULATORY FRAMEWORK POLICY

Approved [x, 2019] by the Board of Governors

*Pursuant to the Regulatory Framework Policy, the President may approve Procedures or the amendment or repeal of Procedures. Such approvals must be reported at the next meeting of the UBC Board of Governors or as soon thereafter as practicable.*

*Capitalized terms used in these Procedures that are not otherwise defined herein shall have the meanings given to such terms in the accompanying Policy, being the Regulatory Framework Policy.*

### 1. Policy Proposal Developments

- 1.1 To facilitate and coordinate the development of a Policy Proposal pursuant to section 3.1 of the Policy where the development of the Policy Proposal is expected to result in the establishment of a new Board Policy, the repeal of an existing Board Policy, or significant amendments to an existing Board Policy, the OUC normally follows the process set out below:
  - 1.1.1 OUC confirms mandate with the Responsible Executive(s).
  - 1.1.2 OUC carries out an environmental survey to identify preferred policy models at other institutions.
  - 1.1.3 OUC strikes a committee for policy review (a “**Policy Development Committee**”).
  - 1.1.4 OUC prepares draft Policy Proposal for consideration by the Policy Development Committee.
  - 1.1.5 The Policy Development Committee reviews the draft working policy and provides comments and recommendations.
  - 1.1.6 The steps set out in sections 1.1.4 and 1.1.5 are repeated as necessary to develop a Policy Proposal that is satisfactory to the Policy Development Committee.
  - 1.1.7 Depending on the degree of institutional impact, the OUC may present the Policy Proposal to the UBC Executive for discussion prior to submission to the responsible Board committee.
  - 1.1.8 OUC prepares the docket package regarding the Policy Proposal for the responsible Board committee. The University Counsel and the applicable Responsible Executive(s) must approve the docket package prior to submission to the responsible Board committee.
  - 1.1.9 The Policy Proposal is presented to the responsible Board committee for information.
  - 1.1.10 If the Policy Proposal is not widely applicable to the UBC community, the Policy Proposal is then presented to the Board for approval.
  - 1.1.11 If the Policy Proposal is widely applicable to the UBC community:

- 1.1.11.1 subject to comments from the responsible Board committee, the Policy Proposal is published with a call for comments from the UBC community;
- 1.1.11.2 the Policy Development Committee considers feedback received from the UBC community and may recommend changes to the Policy Proposal;
- 1.1.11.3 OUC amends the Policy Proposal in accordance with the recommendations of the Policy Development Committee;
- 1.1.11.4 OUC prepares the docket package in connection with the revised Policy Proposal for the Board. The University Counsel and the applicable Responsible Executive(s) must approve the docket package prior to submission to the Board; and
- 1.1.11.5 the Policy Proposal is presented to the Board for approval.

## **2. Composition of Policy Development Committees**

- 2.1 The primary considerations in determining the composition of a Policy Development Committee are ensuring that its members (i) have the subject matter expertise and knowledge of UBC necessary to support the development of an effective Policy Proposal, and (ii) consist of persons who are representative of units responsible for the subject matter of the Policy Proposal and the primary UBC constituencies that would be impacted by the Policy Proposal. To the extent feasible, the OUC also seeks to form Policy Development Committees that represent the diversity of the UBC community.
- 2.2 Policy Development Committees may include the chair of the Board committee that is responsible for the particular subject matter of the Policy Proposal or such chair's designate.
- 2.3 In determining the composition of Policy Development Committees, the OUC will consult with the applicable Responsible Executive and the chair of the applicable Board committee having responsibility over the subject matter of the Policy Proposal.

## **3. Streamlining the Policy Development Process**

- 3.1 Where amendments of an existing Board Policy are not expected to be significant, the OUC will streamline the process set out in section 1.1 of these Procedures as appropriate, balancing timeliness, efficient utilization of UBC resources in the development of the Policy Proposal, and the ultimate requirements that the final Policy Proposal be of high quality and effective in meeting the specific needs of UBC that are intended to be addressed by the Policy Proposal. When a Policy Proposal is submitted to the Board for approval, the Board submission will include a description of the process that was used to ensure that the Policy Proposal has had the benefit of appropriate stakeholder input.

## **4. Official Repository**

- 4.1 For the purposes of section 7 of the Policy, the Official Repository is located at <https://universitycounsel.ubc.ca/policies/index/>.



## EXPLANATORY NOTES REGARDING THE REGULATORY FRAMEWORK POLICY AND ASSOCIATED PROCEDURES

Issued [x, 2019] by the Office of the University Counsel

The OUC has prepared these Explanatory Notes to provide context and background regarding the Regulatory Framework Policy. These Explanatory Notes do not replace or supersede the content of the Regulatory Framework Policy and its Procedures.

<b>Policy Long Title:</b>	Regulatory Framework Policy for the Administration of Board Policies and Procedures
<b>Policy Short Title:</b>	Regulatory Framework Policy
<b>Policy Number:</b>	GA2
<b>Responsible Executive:</b>	University Counsel
<b>Responsible Board Committee:</b>	Governance Committee
<b>Related Policies:</b>	<p>The policies regarding administration, development, and review of Senate and Council of Senate policies are as follows:</p> <ul style="list-style-type: none"><li>• Senate Policy: V-1 Format, Development &amp; Administration of Senate Policies (<i>Vancouver Senate</i>)</li><li>• Senate Policy: O-1 Format, Development &amp; Administration of Senate Policies (<i>Okanagan Senate</i>); and</li><li>• Council of Senates Policy: C-1 Format, Development &amp; Administration of Council of Senates Policies (<i>Council of Senates</i>);</li></ul>
<b>History:</b>	<ul style="list-style-type: none"><li>• The Regulatory Framework Policy was first approved by the Board of Governors in May 1993 and identified as Policy #1 (<i>Administration of Policies</i>);</li><li>• The Regulatory Framework Policy was revised in March 1995 to include a provision that provides for accommodation of persons with disabilities in respect of the administration of UBC's policies and procedures; the Policy remained identified as Policy #1 (<i>Administration of Policies</i>);</li><li>• The Regulatory Framework Policy was revised in [x], 2019; it is currently identified as the Regulatory Framework Policy, its long title is Regulatory Framework for the Administration of Board Policies and Procedures, and its number is [GA2];</li></ul>
<b>Related Legislation:</b>	<i>University Act R.S.B.C. 1996, c.468</i>